

SECOND ANNUAL NATIONAL CONGRESS ON HEALTH CARE COMPLIANCE



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**GRAND HYATT HOTEL
WASHINGTON, D.C.**

FEATURING

The Honorable Donna E. Shalala
Secretary of Health and Human Services

Nancy-Ann Min DeParle, Administrator
Health Care Financing Administration

Federal Judge Richard P. Conaboy
Chair, U.S. Sentencing Commission

Joseph Ways, Chief, FBI Healthcare Fraud Unit

Alice G. Gosfield, Esq.
Alice G. Gosfield & Associates, P.C.

Prof. Malcolm K. Sparrow, Ph.D., Harvard
University and Author *Why Fraud Plagues
America's Healthcare System*

Alan Yuspeh, Esq., Senior Vice President
Ethics, Compliance and Corporate
Responsibility, Columbia/HCA

AND THE FOLLOWING SPECIAL EVENTS

The National Health Care Compliance Banquet
and Annual Regulator Roast of James Sheehan,
Esq., Assistant U.S. Attorney, Eastern District
of Pennsylvania

A Focused Symposium on Health Care
Qui Tam

A Special Multi-Session Track on Advanced
Compliance Training for Executives and Board
Members

✓ 16.25 CLE Hours Applied For. See page 3.

THE SECOND ANNUAL NATIONAL CONGRESS ON HEALTH CARE COMPLIANCE

At the First National Congress on Health Care Compliance held February 25-27, 1998 in Washington, D.C., over 1,000 registrants, 100 faculty and 40 cosponsoring organizations came together to study and debate the major health care enforcement and compliance issues of our time. The Congress, with presentations from the nation's preeminent health care compliance regulators, attorneys, consultants and compliance professionals and its unique compliance video collection approach, received universally high registrant ratings.

Over the past year, the pace of health care enforcement initiatives and responsive compliance efforts has continue to increase. A number of prominent *qui tam* cases have been unsealed. Numerous large health care enterprises, both investor-owned and nonprofit, have entered into substantial monetary settlements and executed corporate integrity agreements. National publications, such as the *New York Times* and the *Wall Street Journal*, continue to make health care compliance front page news.

The Second Annual National Congress on Health Care Compliance has been convened to encourage a continuing national dialogue on the ongoing governmental and private sector enforcement initiatives sweeping health care finance and delivery. The nation's leading health care regulators and prosecutors from the Department of Health and Human Services, the Federal Bureau of Investigation, the Health Care Financing Administration, the Federal Trade Commission, the Securities and Exchange Commission, various United States Attorneys General's Offices and the Department of Justice will describe in detail current and future health care enforcement initiatives. Leading compliance professionals, including attorneys, consultants and compliance officers, will articulate responsive strategies and share benchmarks for best practices compliance programs.

WHO SHOULD ATTEND THE CONGRESS

- Health Care senior executives and leaders, including CEOs and CFOs in hospitals, medical groups and IPAs, ancillary providers, long term care organizations and health plans
- Members of the Board of Trustees of Health Care Enterprises
- Health Care Compliance Officers
- Health Care Risk Managers
- Health Care Finance, Patient Accounts, Coding, and Billings Specialists
- Physicians and Other Health Professionals
- Health Care Consultants and Attorneys
- Health Care Regulators
- Health Care Journalists, Researchers and Policy Makers

SPECIAL FEATURES OF THE CONGRESS

To address the special needs and requests of registrants, the Congress will include the following special events and features:

Extensive Written Materials

The faculty of the Congress will prepare written materials to accompany their presentations, including copies of presentation overheads and related materials which will be included with the Congress binder. Additionally, sample compliance publications and related documentation will be shared with registrants.

Unique Video Presentations

The Congress has become renowned for its use of press conference and news videos covering major health care enforcement and compliance events, investigations and prosecutions. Often used in transitions between plenary session speakers, these video presentations highlight recent major health care compliance developments.

National Health Care Compliance Banquet and Annual Regulator Roast

On the evening of Wednesday, February 10, 1999, HCCA will host its National Health Care Compliance Banquet featuring the noted academic authority on health care enforcement and compliance issues, *Malcolm K. Sparrow*, Ph.D., of the Kennedy School of Government at Harvard University.

HCCA's Annual Regulator Roast will follow, featuring a roast of one of the nation's preeminent health care fraud prosecutors, *James Sheehan*, Esq., Assistant U.S. Attorney General of the Eastern District of Pennsylvania.

A Special Symposium on Health Care Qui Tam

Increasingly, federal and state health care enforcement initiatives are growing out of private "whistleblower" or *qui tam* actions under the federal False Claims Act. The False Claims Act imposes liability on persons or corporations who make fraudulent claims for payment on the United States Government. It was originally enacted during the Civil War in an effort to deter corrupt military contractors. For over a century, defense contractors remained the primary targets of the statute.

The Attorney General is primarily responsible for bringing False Claims Act cases. She is required by the terms of the Act to investigate violations "diligently." However, the False Claims Act also allows a private citizen to bring a civil suit on behalf of the United States. Such suits are known as *qui tam* or "whistleblower" actions. The *qui tam* provisions of the False Claims Act are intended to encourage all persons with information about fraud against the government to come

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Annual Compliance Institute, Chicago, Illinois
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forward. A successful private plaintiff in a *qui tam* suit, called a “relator,” is entitled to a share of the recovery as well as reimbursement of the costs of bringing a suit.

There has been a tremendous increase in the number of *qui tam* lawsuits filed against health care providers. Ten years ago, less than ten percent of *qui tam* recoveries were from health care related actions; by 1995, nearly half of the recoveries came from these cases. In less than a decade, the number of whistleblower cases has gone from 50 to over 300 filed annually, with two-thirds of recent cases being brought against health care defendants. Law firms that specialize in representing *qui tam* plaintiffs have taken to advertising in health care industry publications in an effort to locate would-be relators.

This new wave of cases involves more than obvious false claims, such as billing Medicare for services that were never rendered. Coding errors and overbilling now give rise to whistleblower suits. Indeed, *qui tam* suits are being filed in a number of areas that arguably should not fall within the False Claims Act, including violations of Medicare anti-kickback regulations, failure to provide adequate care to Medicare patients, and failure to comply with Medicare manual provisions relating to billing.

The Congress will offer a special focused symposium on *qui tam* on the morning of Friday, February 12, 1999. Featured will be the nation’s top *qui tam* plaintiffs and defense counsel and a panel of *qui tam* relators, the actual whistleblowers in some preeminent recent *qui tam* cases.

Advanced Compliance Training for Executives and Board Members

The Congress features a focused multi-session advanced training course on compliance matters for health care executives and members of boards of directors. Compliance officers may wish to invite and accompany key executives and board members to this valuable course of compliance study. Taught by the nation’s leading compliance consultants, attorneys and compliance officers, the course will cover the following topics:

- *Required Commitment and Key Actions in Establishment and Implementation of a Corporate Compliance Plan*
- *Structure, Organization and Funding of Corporate Compliance Plans*
- *Liability, Fiduciary Duties and Personal Obligations of Executives and Board Members*
- *Working with Your Corporate Compliance Officer*
- *Maintaining Focus—Ongoing Functions and Commitments*

ABA MCLE Credit

Required sponsor documentation has been forwarded to and credit requested from MCLE states with general requirements for all lawyers. We have requested a total of 16.25 CLE hours from all MCLE states. Lawyers seeking credit in Pennsylvania must pay fees of \$1.50 per credit hour directly to the PA CLE Board. The Congress pays applicable fees in other states where the sponsor is required to do so, and in states

where a late fee may become applicable. Please be aware that each state has its own rules and regulations, including the definition of “CLE”; therefore, certain programs may not receive credit in some states. For information on approved credit hours for your state, please contact the Congress at 888-309-7888.

About the Congress Sponsor

The Health Care Compliance Association (HCCA) is a forum for health care professionals involved in compliance. The mission of HCCA is to provide a collaborative setting that promotes integrity and ethical behavior through the development of comprehensive compliance programs throughout the entire health care industry. HCCA is a national organization whose function is to facilitate the introduction, development and maintenance of quality health care compliance programs; to provide a forum for understanding the complicated health care environment; and to provide educational opportunities for those involved with compliance in the health care industry.

For questions about HCCA membership, please call 888-580-8373.

PRECONFERENCE SPECIAL EVENT WEDNESDAY, FEBRUARY 10, 1999

THE NATIONAL HEALTH CARE COMPLIANCE BANQUET presented by the Health Care Compliance Association

Featuring

Prof. Malcolm K. Sparrow, Ph.D., Lecturer in Public Policy John F. Kennedy School of Government, Harvard University and Author, Why Fraud Plagues America’s Healthcare System and

Health Care Compliance Association Annual Regulator Roast of *James Sheehan, Esq., Assistant U.S. Attorney, Chief, Civil Division, Eastern District of Pennsylvania*

Featuring the following Roasters:

Alice G. Gosfield, Esq., Alice G. Gosfield and Associates, P.C.

Greg Miller, Esq., Partner, Miller, Alfano & Raspanti, and former Assistant U.S. Attorney and Chief, Criminal Division, Eastern District of Pennsylvania

Master of Ceremonies

Brent Saunders, J.D., M.B.A. Chief Risk Officer, Coventry Health Care and President, HCCA

COCKTAILS 6:00 PM BANQUET 7:00 PM

THE NATIONAL SYMPOSIUM ON HEALTH CARE ENFORCEMENT

program

THURSDAY, FEBRUARY 11, 1999

- 8:00 AM** **INTRODUCTION**
Co-Moderators:
Peter N. Grant, J.D., Ph.D., Partner, Davis Wright Tremaine LLP
Brent Saunders, J.D., M.B.A., Chief Risk Officer, Coventry Healthcare, President, Health Care Compliance Association and HCCA 1997 Compliance Professional of the Year
- 8:00 AM - 8:15 AM** **WELCOME AND OVERVIEW OF ADMINISTRATION'S POSITION ON HEALTH CARE COMPLIANCE**
The Honorable Donna E. Shalala, Secretary of Health and Human Services
- 8:15 AM - 9:00 AM** **COMPLIANCE WITH THE FEDERAL SENTENCING GUIDELINES**
The Honorable Richard P. Conaboy, Senior Federal Judge, and Chair, U.S. Sentencing Commission
- 9:00 AM - 10:15 AM** **POINT AND COUNTERPOINT ON HEALTHCARE CIVIL AND CRIMINAL PROSECUTIONS**
James Sheehan, Esq., Assistant U.S. Attorney, Eastern District of Pennsylvania
Alice G. Gosfield, Esq., Alice G. Gosfield and Associates, P.C.
- 10:15 AM - 11:00 AM** **HEALTH CARE FRAUD INVESTIGATIONS UPDATE**
Joseph Ways, Chief, Healthcare Fraud Unit, Federal Bureau of Investigation
- 11:00 AM - 11:15 AM** **BREAK**
- 11:15 AM - 12:30 PM** **1-A HEALTH CARE ANTITRUST COMPLIANCE**
Richard Feinstein, Esq., Assistant Director, Federal Trade Commission, Healthcare Division
David C. Jordan, Esq., Assistant Chief, Health Care Antitrust Task Force, U.S. Department of Justice
- Concurrent Sessions**
- 1-B HEALTH CARE ENFORCEMENT AND COMPLIANCE: TAX EXEMPT ORGANIZATIONS AND PUBLIC COMPANIES**
Marcus S. Owens, Director, Exempt Organizations Division, Internal Revenue Service
Paul Berger, Esq., Assistant Director, Enforcement, U.S. Securities and Exchange Commission
- 1-C HCFA'S PROGRAM INTEGRITY STRATEGY**
Penny Thompson, Director, Program Integrity Group, Health Care Financing Administration
- 12:30 PM - 2:30 PM** **LUNCHEON PRESENTATIONS**
- HEALTH CARE ENFORCEMENT INITIATIVES UPDATE**
Harvey A. Yampolsky, Esq., Partner, Arent, Fox, Kintner, Plotkin and Kahn, and former Chief Counsel, Office of Inspector General, DHHS
- STRATEGIC RESPONSES TO THE CHALLENGE OF HEALTH CARE COMPLIANCE**
Richard P. Kusserow, President, Strategic Management Systems, Inc. and former Inspector General, DHHS
- 2:30 PM - 3:45 PM** **2-A MANAGED CARE ENFORCEMENT**
Bruce Fried, Esq., Partner, Shaw Pittman Potts & Trowbridge and former Director, Center for Health Plans & Providers, HCFA
- Concurrent Sessions**
- 2-B HEALTH CARE ENFORCEMENT IN THE PRIVATE SECTOR**
William J. Mahon, Executive Director, National Health Care Anti-Fraud Association
- 2-C UPDATE ON PROPOSED STARK II REGULATIONS AND STARK ADVISORY OPINIONS**
Joanne E. Sinsheimer, Coordinating Stark II Regulations, Health Care Financing Administration
- 2-D ADA, EEOC AND OTHER EMPLOYMENT-RELATED COMPLIANCE ISSUES**
Patricia Casey, Esq., Partner, Akin Gump Strauss Hauer and Feld
Donald Livingston, Esq., Partner, Akin Gump Strauss Hauer and Feld, and former General Counsel for U.S. EEOC
- 3:45 PM - 4:15 PM** **BREAK**
- 4:15 PM - 5:30 PM** **3-A OPERATION RESTORE TRUST, DRG 3-DAY WINDOW PROJECT AND PPS HOSPITAL DISCHARGE/TRANSFER INVESTIGATION UPDATE**
Andrew Quinn, Esq., Partner, Compliance Concepts and former Assistant U.S. Attorney, Middle District of Pennsylvania
- Concurrent Sessions**
- 3-B LAB SCAM/OPERATION "BAD BUNDLE" UPDATE**
Lisa Marie Sylvia, Esq., Mintz, Levin, Cohn, Ferris, Glovsky & Popeo, P.C., and former Senior Counsel, Office of Counsel to the Inspector General, DHHS

THE NATIONAL SYMPOSIUM ON HEALTH CARE ENFORCEMENT

program

THURSDAY, FEBRUARY 11, 1999

Concurrent Sessions

3-C PHYSICIANS AT TEACHING HOSPITALS (PATH) AND OTHER ACADEMIC MEDICAL CENTER ENFORCEMENT AND COMPLIANCE UPDATE

Ivy Baer, Esq., Regulatory Counsel and Director, Association of American Medical Colleges
Jerry Carr, Chief Compliance Officer, University of Maryland

3-D HOME HEALTH ENFORCEMENT AND COMPLIANCE UPDATE

Thomas C. Fox, Esq., Partner, Reed Smith Shaw & McClay

3-E MANAGED CARE ENFORCEMENT AND COMPLIANCE UPDATE

Brent Saunders, J.D., M.B.A., Chief Risk Officer, Coventry Healthcare
Wendy Krasner, Esq., Partner, McDermott, Will & Emery

3-F PRIVATE SECTOR ENFORCEMENT: WHAT PRIVATE PAYORS ARE DOING

Valli Baldassano, Esq., Senior Counsel, Independence Blue Cross
Alison M. Duncan, Esq., Partner, Porter, Wright, Morris & Arthur and former Assistant U.S. Attorney, Eastern District of Pennsylvania

3-G SPECIAL BOARD/EXECUTIVE TRAINING SESSION:

OVERVIEW OF STRUCTURE, ORGANIZATION AND FUNDING OF A CORPORATE COMPLIANCE PLAN

Michael Chibbaro, Partner, Ernst & Young
Brett Crewse, Partner, Ernst & Young

FRIDAY,
FEBRUARY 12, 1999

8:00 AM - 12:00 PM **SPECIAL SYMPOSIUM ON HEALTH CARE QUI TAM: WELCOME AND SPEAKER INTRODUCTIONS**

Co-Moderators:

Robert Fabrikant, Esq., Partner, Sidley & Austin, and former Assistant U.S. Attorney, Washington D.C. and Trial Attorney, Antitrust Division, U.S. Department of Justice
Charles P. Murdter, Esq., Of Counsel, Davis Wright Tremaine LLP, and former Trial Attorney, Criminal Division, Fraud Section, U.S. Department of Justice

8:00 AM - 8:30 AM **AN OVERVIEW OF QUI TAM—THE HIDDEN WELLSPRING OF MOST ENFORCEMENT ACTIONS**

Robert Fabrikant, Esq., Partner, Sidley & Austin

8:30 AM - 9:45 AM **QUI TAM RELATOR AND PLAINTIFF'S COUNSEL PANEL**

Andrew Hendricks, M.D., Relator in U.S. ex. rel., Hendricks vs. Roache Biomedical Laboratories (Laboratory Corporation of America)
Lesley Ann Skillen, Esq., Getnick and Getnick, Counsel for Relator Andrew Hendricks, M.D.
James Alderson, Relator in U.S. ex. rel., Alderson vs. Columbia/HCA and Quorum
Steve Meagher, Esq., Partner, Phillip & Cohen, Counsel for Relator Alderson in U.S. ex rel Alderson vs. Columbia/HCA and Quorum
Benjamin Kready, former Executive Director of Faculty Practice at University of Texas Health Science Center at San Antonio, Relator in U.S. ex. rel., Kready vs. University of Texas Health Science Center at San Antonio
Marlene M. Martin, J.D., C.P.A., M.B.A., Attorney at Law, Counsel for Relator Benjamin Kready
Moderator: *Mark Kleiman, Esq., Law Office of Mark Allen Kleiman*

9:45 AM - 10:00 AM **BREAK**

10:00 AM - 11:00 AM **4-A COMPLIANCE STRATEGIES TO PREVENT QUI TAM CLAIMS, AND STRATEGIES TO INFLUENCE THE GOVERNMENT'S INTERVENTION DECISION IN QUI TAM MATTERS**

David Queen, Esq., Law Office of David Queen
Laurence J. Freedman, Esq., Assistant Director of Commercial Litigation Branch, Civil Division, U.S. Department of Justice

4-B UNDERSTANDING THE QUI TAM "ORIGINAL SOURCE" RULE, WHO CAN QUALIFY AS A QUI TAM RELATOR, AND WHO MAY BE SUED AS QUI TAM DEFENDANTS

John T. Boese, Esq., Partner, Freed, Frank, Harris, Schriver & Jacobson
Marc Raspanti, Esq., Partner, Miller, Alfano & Raspanti

Concurrent Sessions

THE SECOND ANNUAL NATIONAL CONGRESS ON HEALTH CARE COMPLIANCE

FRIDAY, FEBRUARY 12, 1999

program

FRIDAY, FEBRUARY 12, 1999 (continued)

Concurrent Sessions

4-C NEGOTIATION AND IMPLEMENTATION OF CORPORATE INTEGRITY AGREEMENTS

W. McKay Henderson, Partner, PricewaterhouseCoopers

Gabriel L. Imperato, Esq., Broad & Cassel

4-D RESPONDING TO CRIMINAL INVESTIGATIONS CONDUCTED IN CONJUNCTION WITH FALSE CLAIMS ACT/QUI TAM LITIGATION

Mark D. Hopson, Esq., Partner, Sidley & Austin

Charles P. Murdter, Esq., Of Counsel, Davis Wright Tremaine LLP

4-E STATE FALSE CLAIMS ACT AND QUI TAM LITIGATION

Carolyn McElroy, Esq., Assistant Attorney General and Chief of Medicaid Fraud Control Unit, Maryland

4-F COST EFFECTIVE COMPLIANCE STRATEGIES FOR SMALLER ORGANIZATIONS

Frank Fedor, Esq., Partner, Diepenbrock, Wolff, Plant & Hannegan

Lisa Murtha, Director of Control and Compliance, Deloitte & Touche, and former Compliance Officer, University of Pennsylvania and Pennsylvania Blue Shield

4-G SPECIAL BOARD/EXECUTIVE TRAINING SESSION:

REQUIRED COMMITMENT AND KEY ACTIONS OF EXECUTIVES/BOARD TO ESTABLISH AND IMPLEMENT A CORPORATE COMPLIANCE PROGRAM

Dion P. Sheidy, Partner and National Director of Corporate Compliance, PricewaterhouseCoopers

11:00 AM - 12:00 PM

5-A FALSE CLAIMS ACT AND QUI TAM RISK AREAS/LITIGATION IN ACUTE CARE HOSPITALS

Lucy Eldridge, Esq., Chief Compliance Officer, Greater New York Hospital Association

Rachel Seifert, Esq., Vice President and General Counsel, Community Health System, and former Associate Legal Counsel, Columbia/HCA

5-B FALSE CLAIMS ACT AND QUI TAM RISK AREAS/LITIGATION IN PSYCHIATRIC HOSPITALS

Dan Anderson, Esq., Trial Attorney, Fraud Section, Civil Division, U.S. Department of Justice

Stephen S. Cowen, Esq., Partner, King & Spalding

5-C FALSE CLAIMS ACT AND QUI TAM RISK AREAS/LITIGATION IN SKILLED NURSING AND RELATED ANCILLARY SERVICES

Barry Landsberg, Esq., Partner, Manatt, Phelps & Phillips

David Hoffman, Esq., Assistant U.S. Attorney, Eastern District of Pennsylvania

5-D FALSE CLAIMS ACT AND QUI TAM RISK AREAS/LITIGATION IN DURABLE MEDICAL EQUIPMENT, HOME HEALTH AND PHARMACEUTICALS

Doreen R. Bellucci, Esq., Associate General Counsel/Compliance Officer, Apria Healthcare

Paul E. Kalb, M.D., Partner, Sidley & Austin

5-E FALSE CLAIMS ACT AND QUI TAM RISK AREAS/LITIGATION IN CARRIERS AND FISCAL INTERMEDIARIES

Ronald E. Osman, Esq., Partner, Ronald E. Osman & Associates, Ltd., Counsel for Relator Evelyn Knob in Knob vs. Health Care Service Corp. (Blue Cross/Blue Shield Illinois)

Gregory N. Pimstone, Esq., Partner, Latham & Watkins

Daniel J. Weissburg, Esq., Editor-in-Chief of *CCH Compliance*

5-F CREATING AND IMPLEMENTING A COMPLIANCE VIDEO TRAINING PROGRAM

Mary Bennett, Vice President of Education and Development, MedPartners, Inc.

Evelyn Williams, Director of Training and Education, MedPartners, Inc.

5-G SPECIAL BOARD/EXECUTIVE TRAINING SESSION:

BOARD/EXECUTIVE RESPONSIBILITIES UNDER CORPORATE FIDUCIARY DUTY, FEDERAL SENTENCING GUIDELINES AND IN RE CAREMARK

Robert G. Homchick, Esq., Partner, Davis Wright Tremaine LLP

Edwin D. Rauzi, Esq., Partner, Davis Wright Tremaine LLP

12:00 PM - 1:45 PM

LUNCHEON SESSION

HCFA'S HEALTH CARE COMPLIANCE INITIATIVES

Nancy-Ann Min DeParle, Administrator, Health Care Financing Administration

COMPLIANCE LESSONS FROM OTHER INDUSTRIES

Alan Yuspeh, Esq., Senior Vice President, Ethics, Compliance and Corporate Responsibility, Columbia/HCA

Moderator: *John Iglehart*, Editor, *Health Affairs Journal*

THE SECOND ANNUAL NATIONAL CONGRESS ON HEALTH CARE COMPLIANCE

FRIDAY, FEBRUARY 12, 1999

program

FRIDAY, FEBRUARY 12, 1999 (continued)

1:45 PM - 2:45 PM

HEALTH CARE COMPLIANCE OFFICER PANEL: CUTTING EDGE ISSUES IN COMPLIANCE

Eileen T. Boyd, Esq., Chief Compliance Officer, UCSF Stanford Health Care and former Assistant Inspector General, DHHS

Ted Sanford, M.D., Chief Compliance Officer for Billing, University of Michigan

Brent Saunders, Esq., Chief Risk Officer, Coventry Healthcare and former Senior Vice President Compliance and Regulatory Affairs, Home Care Concepts of America

John Steiner, Esq., Chief Compliance Officer, Cleveland Clinic

Alan Yuspeh, Esq., Senior Vice President, Ethics, Compliance and Corporate Responsibility, Columbia/HCA

Moderator: *Roy J. Snell*, Senior Manager, Deloitte & Touche LLP, former Chief Compliance Officer, University of Wisconsin Hospitals, Clinics and Medical Foundation and Immediate Past-President, HCCA

2:45 PM - 3:00 PM

BREAK

3:00 PM - 4:00 PM

6-A HOW TO CONDUCT AN INTERNAL INVESTIGATION AND LIVE TO TELL ABOUT IT

Alex Schillaci, Jr., Partner, Deloitte & Touche LLP

6-B PRACTICAL COMPLIANCE IMPLEMENTATION STEPS

John Steiner, Esq., Chief Compliance Officer, Cleveland Clinic

6-C UTILIZING SOFTWARE TO COMPLY WITH THE MONITORING AND AUDITING MANDATES OF THE MODEL GUIDELINES

Joseph J. Russo, Esq., Sr. Vice President and Corporate Compliance Officer, QuadraMed/Cabot Marsh

Jonathan Kweller, J.D., L.L.M., Compliance Attorney and Associate Compliance Officer QuadraMed/Cabot Marsh

6-D ESTABLISHING AND MANAGING COMPLIANCE HOTLINES

Pat Marion, CFE, Partner, Compliance Concepts

Dick Elslager, Senior Vice President, Compliance Concepts

6-E PRACTICAL APPROACHES TO IMPLEMENTING COMPLIANCE PROGRAMS AT THE DEPARTMENTAL LEVEL

Jody A. Noon, Esq., Partner, Davis Wright Tremaine LLP

6-F SPECIAL BOARD/EXECUTIVE TRAINING SESSION:

WORKING WITH YOUR CORPORATE COMPLIANCE OFFICER

Cori Fisher McRae, J.D., M.P.P., Chief Compliance Officer, Saint Barnabus Health Care System, and HCCA 1998 Compliance Professional of the Year

Brent Saunders, J.D., M.B.A., Chief Risk Officer, Coventry Healthcare, President, HCCA and HCCA 1997 Compliance Professional of the Year

Roy Snell, Senior Manager, Deloitte & Touche LLP, and Immediate Past-President, HCCA

4:00 PM - 5:00 PM

7-A THE ROLE OF ETHICS IN AN INTEGRATED COMPLIANCE PROGRAM

Jan Heller, Ph.D., Director, Center for Ethics in Health Care

7-B INCORPORATING ANTITRUST CONCERNS INTO INSTITUTIONAL COMPLIANCE PLANS

Robert F. Leibenluft, Esq., Partner, Hogan & Hartson, Washington, D.C., and former Assistant Director, Federal Trade Commission, Healthcare Division

7-C RESPONDING TO ENFORCEMENT INITIATIVES: DEALING WITH PROSECUTORS, AGENTS AND AUDITORS

Michael Kendall, Esq., Partner, McDermott Will & Emery

Thomas H. Suddath, Esq., Partner, Montgomery, McCracken, Walker & Rhoads, and former Assistant U.S. Attorney, Eastern District of Pennsylvania

7-D COMPLIANCE CONCERNS IN TRANSACTION DUE DILIGENCE

Douglas Hastings, Esq., Partner, Epstein, Becker & Green

7-E ESTABLISHING AND IMPLEMENTING A COMPLIANCE CODING AND DOCUMENTATION TEAM AND PROTOCOLS

Cori Fisher McRae, J.D., M.P.P., Chief Compliance Officer, Saint Barnabus Health Care System, and HCCA 1998 Compliance Professional of the Year

7-F SPECIAL BOARD/EXECUTIVE TRAINING SESSION:

MAINTAINING FOCUS — ONGOING COMMITMENTS AND IMPLEMENTATION OF CORPORATE COMPLIANCE PLANS

Mukesh Gangwal, Partner and National Director of Regulatory Compliance Services, Arthur Andersen Co., L.L.P.

Concurrent Sessions

Concurrent Sessions

THE SECOND ANNUAL NATIONAL CONGRESS ON HEALTH CARE COMPLIANCE

WEDNESDAY – FRIDAY, FEBRUARY 10–12, 1999

registration form

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HOW TO REGISTER

Fully complete steps 1-3 (one form per registrant, photocopies acceptable) payment must accompany each order.

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BY MAIL: The Second Annual National Congress on
Health Care Compliance
Attn: Kris Greco
600 Stewart Street, Suite 1605
Seattle, WA 98101-1220

REGISTRATION FEES ARE NOT REFUNDABLE
SCHEDULE SUBJECT TO CHANGE

CONFERENCE HOTEL INFORMATION

A special rate of \$189 (plus tax) per single per night has been arranged for this conference. Please make your reservations directly with the Grand Hyatt and mention the National Congress conference to receive this reduced rate. Reservations will be accepted until **January 4, 1999**. After that cut-off date, reservations will be accepted on a space-available basis.

GRAND HYATT HOTEL

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1 COMPLETE THE FOLLOWING

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Yes, I plan to attend Special Board/Executive Training Sessions

➔ Please advise us if you will need interpretive services or other reasonable accommodations.

2 PRICING

Congress (February 11 and 12) Registration Fee

Before January 4	\$995.00	}	+	_____
Group Discount: Send 2nd, and all other	\$945.00			
After January 4	\$1095.00	}	+	_____
Group Discount: Send 2nd, and all other	\$1045.00			
National Health Care Compliance Banquet (Oct. 10) Fee	\$95.00		+	_____
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	(\$50)		-	_____
Total				_____

DISCOUNTS MAY BE CUMULATIVE

3 PAYMENT OPTIONS

Please enclose payment with your registration and return it to the conference registrar at the above address, or fax your credit card payment to 206-441-6369.

Check/Money order enclosed (checks payable to):
The Second Annual National Congress on
Health Care Compliance

American Express Visa Mastercard

TOTAL \$

ACCOUNT #

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NAME OF CARDHOLDER

SIGNATURE OF CARDHOLDER

Credit card registration requires faxed or original signature on this form.